

JUDGE STEIN

AO 440 (Rev. 8/01) Summons in a Civil Action

UNITED STATES DISTRICT COURT

Southern

District of

New York

Susan M. Capozzoli, individually and on behalf of  
all other similarly situated,

SUMMONS IN A CIVIL ACTION

V.

RBC Dain Rauscher, Inc., John Does 1-50 &  
ABC Corporations 1-50

CASE NUMBER:

07 CV 11200

TO: (Name and address of Defendant)

RBC Dain Rauscher, Inc.  
c/o C T CORPORATION SYSTEM  
111 EIGHTH AVENUE  
NEW YORK, NEW YORK, 10011

**YOU ARE HEREBY SUMMONED** and required to serve on PLAINTIFF'S ATTORNEY (name and address)

Lovell Stewart Halebian LLP  
500 Fifth Avenue  
58th Floor  
New York, NY 10110

an answer to the complaint which is served on you with this summons, within 20 (twenty) days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

**J. MICHAEL McMAHON**

DEC 12 2007

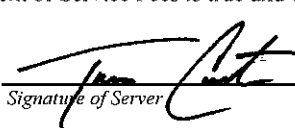
CLERK

DATE

(By) DEPUTY CLERK

*[Signature]*

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<b>RETURN OF SERVICE</b>		
Service of the Summons and complaint was made by me <sup>(1)</sup>	DATE 12/19/2007	
NAME OF SERVER ( <i>PRINT</i> ) Travis Carter	TITLE Paralegal	
<i>Check one box below to indicate appropriate method of service</i>		
<div style="margin-bottom: 10px;"><input type="checkbox"/> Served personally upon the defendant. Place where served:</div> <div style="margin-bottom: 10px;"><input type="checkbox"/> Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:</div> <div style="margin-bottom: 10px;"><input type="checkbox"/> Returned unexecuted:</div> <div><input checked="" type="checkbox"/> Other (specify): Served on Aixa Flores of C T CORPORATION SYSTEM, 111 Eighth Avenue, New York, New York, 10011</div>		
<b>STATEMENT OF SERVICE FEES</b>		
TRAVEL \$4.00	SERVICES	TOTAL \$4.00
<b>DECLARATION OF SERVER</b>		
<p>I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.</p> <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 20px;"> <div style="width: 30%;"> <p>Executed on <u>12/19/2007</u></p> <p style="text-align: center; font-size: small;">Date</p> </div> <div style="width: 60%; text-align: center;">   <p style="text-align: center; font-size: small;">Signature of Server</p> </div> </div> <div style="text-align: center; margin-top: 20px;"> <p><u>500 Fifth Avenue, 58th Fl., New York, NY, 10110</u></p> <p style="text-align: center; font-size: small;">Address of Server</p> </div>		

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.